

MISCELLANEOUS DOCKET
UNITED STATES DISTRICT COURT

DOCKET
NO.

M-1192-E

TITLE OF CASE		ATTORNEYS			
<p>SECURITIES AND EXCHANGE COMMISSION</p> <p style="text-align: center;">PLAINTIFF</p> <p>VS.</p> <p>E.S.M. GROUP, INC. E.S.M. GOVERNMENT SECURITIES, INC. E.S.M. SECURITIES, INC., and E.S.M. FINANCIAL GROUP, INC.</p> <p style="text-align: center;">DEFENDANTS</p>		<p>For plaintiff:</p> <p>Charles C. Harper John Pence Natalie Anne Aizpuru Ste 500, Dupont Plaza Center 300 Biscayne Blvd Way Miami, FL. 33131 305-350-5765</p> <p>For defendant:</p> <p>Finley, Kumble, Wagner, Heine, Underberg, Manley & Casey 777 Brickell Ave., Ste 1000 Miami, FL. 33131 305-371-2600 (Thomas Tew-court-appt. receiver)</p>			
MEMORANDA		DATE	NAME OR RECEIPT NO.	RECEIVED	DISBURSED
DATE	PROCEEDINGS				Date Order or Judgment Noted
1985					
3-7	COMPLAINT of pltf for TRO, prel. & permanent injunction & other equitable relief. tj (Southern Dist. of Florida #85-6190)				
3-7	CONSENT of defts to the entry of a final judgment of permanent injunction. tj (Southern Dist. of Florida #85-6190)				
3-7	FINAL JUDGMENT of permanent injunction. (WMH-J) tj (SOUTHERN DIST. OF FLORIDA-#85-6190)				

1985 ^E	M-1192-E	PROCEEDINGS	Date Order or Judgment Noted
3-7	NOTICE of filing of Thomas Tew, court-appt. receiver for defts. tj		
3-11	ORDER amending final judgment of permanent injunction. (JAG-J) Southern Dist. of Florida. tj		
3-11	ORDER granting motion to authorize liquidation of securities. (JAG-J) Southern Dist. of Florida. tj		
3-11	ORDER granting motion for order compelling Bradford Trust Co. to comply with final judgment of permanent injunction. (JAG-J) tj Southern Dist. of Florida.		
3-11	ORDER establishing a constructive trust. (JAG-J) Southern Dist. of Florida. tj		